

Durham Research Online

Deposited in DRO:

23 November 2015

Version of attached file:

Accepted Version

Peer-review status of attached file:

Peer-reviewed

Citation for published item:

Peach, M.J.G. and Teale, A.M. and Helgaker, T. and Tozer, D.J. (2015) 'Fractional electron loss in approximate DFT and Hartree-Fock theory.', *Journal of chemical theory and computation.*, 11 (11). pp. 5262-5268.

Further information on publisher's website:

<http://dx.doi.org/10.1021/acs.jctc.5b00804>

Publisher's copyright statement:

This document is the Accepted Manuscript version of a Published Work that appeared in final form in *Journal of Chemical Theory and Computation*, copyright © American Chemical Society after peer review and technical editing by the publisher. To access the final edited and published work see <http://dx.doi.org/10.1021/acs.jctc.5b00804>.

Additional information:

Use policy

The full-text may be used and/or reproduced, and given to third parties in any format or medium, without prior permission or charge, for personal research or study, educational, or not-for-profit purposes provided that:

- a full bibliographic reference is made to the original source
- a [link](#) is made to the metadata record in DRO
- the full-text is not changed in any way

The full-text must not be sold in any format or medium without the formal permission of the copyright holders.

Please consult the [full DRO policy](#) for further details.

Fractional electron loss in approximate DFT and Hartree-Fock theory

Michael J G Peach,[†] Andrew M Teale,[‡] Trygve Helgaker,[¶] and David J. Tozer^{*,§}

Department of Chemistry, Lancaster University, Lancaster LA1 4YB, UK, School of Chemistry, University of Nottingham, Nottingham NG7 2RD, UK, Department of Chemistry, Centre for Theoretical and Computational Chemistry, University of Oslo, P.O. Box 1033, Blindern, Oslo N-0315, Norway, and Department of Chemistry, Durham University, South Road, Durham DH1 3LE, UK

E-mail: d.j.tozer@durham.ac.uk

Abstract

Plots of electronic energy vs. electron number, determined using approximate density functional theory (DFT) and Hartree-Fock theory, are typically piecewise convex and piecewise concave, respectively. The curves also commonly exhibit a minimum and maximum, respectively, in the neutral \rightarrow anion segment, which lead to positive DFT anion HOMO energies and positive Hartree-Fock neutral LUMO energies. These minima/maxima are a consequence of using basis sets that are local to the system, preventing fractional electron loss. Ground state curves are presented that illustrate the idealised behaviour that would occur if the basis set were to be modified to enable fractional electron loss, without changing the description in the vicinity of the system.

*To whom correspondence should be addressed

[†]Lancaster University

[‡]University of Nottingham & University of Oslo

[¶]University of Oslo

[§]Durham University

The key feature is that the energy cannot increase when the electron number increases and so the slope cannot be anywhere positive, meaning frontier orbital energies cannot be positive. For the convex (DFT) case, the idealised curve is flat beyond a critical electron number, such that any additional fraction of an electron added to the system is unbound. The anion HOMO energy is zero. For the concave (Hartree-Fock) case, the idealised curve is flat up to some critical electron number, beyond which it curves down to the anion energy. A minimum fraction of an electron is required before any binding occurs, but beyond that the full fraction abruptly binds. The neutral LUMO energy is zero. Approximate DFT and Hartree-Fock results are presented for the $F \rightarrow F^-$ segment, and results approaching the idealised behaviour are recovered for highly diffuse basis sets. It is noted that if a DFT calculation using a highly diffuse basis set yields a negative LUMO energy then a fraction of an electron must bind and the electron affinity must be positive, irrespective of whether an electron binds experimentally. This is illustrated by calculations on $Ne \rightarrow Ne^-$.

Introduction

In recent years, there has been significant interest^{1–18} in the variation of the electronic energy, E , as a function of electron number, N , due to the relevance of this process to the calculation of quantities such as charge-transfer excitation energies,¹⁹ band-gaps,⁶ and molecular dissociation energies^{1,2,20} in Kohn–Sham density functional theory (DFT) and Hartree-Fock theory. The exact E vs. N behaviour²¹ comprises a series of straight line segments with derivative discontinuities at the integers, with slopes on either side of an integer equal to the negative of the exact vertical ionisation potential and electron affinity of the integer system. It is now well established that the deficiencies inherent to approximate DFT and Hartree-Fock theory mean that this piecewise linearity is not recovered by practical calculations. Local DFT exchange-correlation functionals such as the local density approximation (LDA) or generalised gradient approximations (GGAs) instead exhibit piecewise convex curves –

the energies are usually reasonable at integer electron number, but are underestimated at non-integer. This deficiency has been termed^{1-4,9,22,23} many-electron self-interaction error or delocalisation error and it has significant implications for the aforementioned quantities. Hartree-Fock theory instead yields piecewise concave curves. It follows that hybrid functionals, which comprise a linear combination of GGA and exact exchange, yield curves that are intermediate between GGA and Hartree-Fock. The most accurate (minimum curvature) results are often obtained using range-separated exchange-correlation functionals, which provide a more sophisticated mechanism for including exact exchange.

The slope of an E vs. N curve is related to the orbital energies.^{6,24,25} For DFT calculations using explicit density functionals such as LDA and GGA, the limiting values of $\frac{\partial E}{\partial N}$ on the electron deficient and electron abundant sides of an integer M are the HOMO energy, ϵ_{H}^M , and the LUMO energy, ϵ_{L}^M , of the M -electron system,

$$\lim_{\delta \rightarrow 0} \frac{\partial E}{\partial N} \Big|_{M-\delta} = \epsilon_{\text{H}}^M \quad (1)$$

$$\lim_{\delta \rightarrow 0} \frac{\partial E}{\partial N} \Big|_{M+\delta} = \epsilon_{\text{L}}^M. \quad (2)$$

Eqs. (1) and (2) are also satisfied for DFT calculations using orbital-dependent hybrid and range-separated functionals, when the usual generalized Kohn–Sham (GKS) formalism is used, and they are also satisfied for Hartree-Fock theory. If an optimised effective potential (OEP) formalism is instead used for orbital-dependent functionals then an additional derivative discontinuity term must be introduced, as discussed in Ref. 6. In the present study we focus on LDA and a range-separated functional within the GKS formalism, together with Hartree-Fock theory, meaning Eqs. (1) and (2) are valid throughout. These relationships are central to understanding the charge-transfer¹⁹ and band-gap⁶ problems and they form the basis of DFT tuning approaches,²⁶ whereby an exchange-correlation functional is constrained to yield frontier orbital energies equal to ionisation potentials and/or electron affinities.

Many E vs. N curves have been presented in the literature.^{1-12,14,15,18} In addition to the

aforementioned deviation from piecewise linearity, it is common for LDA/GGA curves to exhibit an energy minimum in the segment connecting the neutral to anion and for Hartree-Fock curves to exhibit an energy maximum in that segment; for specific examples, see Refs. 1–7,9–12,14,15. In the present study we highlight the fact that these minima/maxima are a consequence of using basis sets that are local to the system and that they cannot persist if fractional electron loss is possible. The analysis provides a simple perspective for understanding a range of issues in approximate DFT and Hartree-Fock theory, relating to electron binding, orbital energies, and electron affinities, as well as providing some unexpected findings. All calculations were performed in an unrestricted manner using the CADPAC²⁷ and Gaussian09²⁸ programs.

Results and Discussion

Convex E vs. N

Figure 1(a) presents schematic ground-state E vs. N curves for a neutral system that vertically binds an electron, i.e. where the energy of the anion is below that of the neutral. We consider the region $M \leq N \leq M + 1$, where M is the electron number of the neutral system and $M + 1$ is the electron number of the anion. The red solid curve shows the convex behaviour with an energy minimum that is often observed when LDA/GGA is used with a basis set that is local to the system (hereafter termed a ‘local basis set’). The limiting slope on the electron abundant side of integer M is negative, and so it follows from Eq. (2) that the LUMO energy of the neutral is $\epsilon_L^M < 0$, which is well-known. The energy drops to a minimum value at some critical electron number, N_c , but then increases again. The limiting slope on the electron deficient side of the integer $M + 1$ is positive, and so it follows from the $(M + 1)$ -electron analogue of Eq. (1) that the HOMO energy of the anion is $\epsilon_H^{M+1} > 0$. It is well-known that LDA/GGA HOMO energies of anions are often positive and there has been significant discussion^{29–35} in the literature about the formal and practical implications

of this. Figure 1(a) illustrates its origin from an E vs. N perspective.

The presence of the minimum means that the energy of systems with electron number $N_c < N \leq M + 1$ is greater than that of the system with electron number N_c . For these systems, the energy could in principle be lowered by reducing the electron number in the vicinity of the system to N_c , with the remaining fraction moving far from the system with zero energy. However, the use of a local basis set means that all the electrons are constrained to be in the vicinity of the system, and so this does not occur.

The green dotted curve in Figure 1(a) shows the idealised behaviour that would occur if the basis set were to be modified to enable fractional electron loss, without changing the description in the vicinity of the system. For systems with electron number $N_c < N \leq M + 1$, the variational ground-state solution is obtained by binding only N_c electrons and moving the remaining fraction far from the system. The energy of all these systems is the same as the energy of the system with electron number N_c and so the curve is flat, exhibiting a degenerate minimum, and $\epsilon_H^{M+1} = 0$. The electron affinity of the neutral system ($A^M = E(M) - E(M + 1)$) is larger than it was from the red curve, which is somewhat non-intuitive given that the increase in affinity is associated with fractional electron loss. Several studies^{29–35} have discussed fractional electron loss, anion HOMOs approaching zero, and increased electron affinities, although the tendency has been to consider the issues from the perspective of the exchange-correlation potential. Figure 1(a) illustrates all of these aspects from the perspective of an E vs. N curve. It also demonstrates that a positive anion HOMO energy simply reflects the inability to lose a fraction of an electron, due to a local basis set.

The shape of the idealised curve in Figure 1(a) highlights a key result: when electron loss is possible, the E vs. N curve satisfies $E(N + \delta) \leq E(N)$, for $\delta \geq 0$, i.e. the energy cannot increase when the electron number increases and the slope of the curve cannot be anywhere positive.

The idealised electron addition process is therefore as follows: As the electron number

increases from M to N_c , all of the added fraction binds. Once the electron number exceeds N_c , however, the number in the vicinity of the system does not change and the remaining fraction is unbound. The anion has only N_c electrons in the vicinity of the system, with the remaining $M + 1 - N_c$ electrons unbound.

In principle, curves exhibiting the idealised green curve behaviour in Figure 1(a) could be obtained by augmenting a standard atom-centred gaussian basis set with basis functions located a long way from the system, which would enable fractional electron loss without changing the description in the vicinity of the system. In practical calculations, however, fractional electron loss is usually facilitated (to some extent) by adding diffuse basis functions centred on or close to the nuclei. The addition of these functions inevitably affects the description in the vicinity of the system, and so the idealised behaviour in Figure 1(a) will not be exactly reproduced. The unique minimum must vanish when the basis set is sufficiently diffuse, but different basis sets will yield different values of N_c and we cannot rule out the possibility that the lowest energy will occur at the anion, giving a negative ϵ_H^{M+1} .

To investigate the behaviour in real calculations, we consider the $F \rightarrow F^-$ segment ($M = 9$) using a series of basis sets of increasing diffuseness. Specifically, we augment the standard cc-pVTZ basis set with n p functions, reflecting the symmetry of the orbital whose occupation is changing, with exponents obtained from a geometric progression based on the ratio of the p exponents in cc-pVTZ and aug-cc-pVTZ. (The basis sets are therefore equivalent to the regular augmented sets of Woon and Dunning,³⁶ but omitting the s, d, and f diffuse functions). We denote the basis sets cc-pVTZ+ np , where $n = 0 - 5$. Figure 2 presents E vs. N curves determined using the LDA functional,^{37,38} for the six basis sets. The curves were determined by evaluating the electronic energy for a set of equally-spaced N values, with increment 0.05; unless otherwise stated, the same increment is used throughout the study. The cc-pVTZ basis set, with no diffuse functions, exhibits strong curvature and a pronounced minimum. The remaining curves are almost indistinguishable on this scale. The lower plot expands the shaded region. All the curves exhibit a minimum, although it becomes less

pronounced with increasing diffuseness, approaching the idealised flattening in Figure 1(a).

To investigate the loss of a fraction of an electron, Figure 3 compares the quantity $z^2\phi_{\text{HOMO}}^2$, where ϕ_{HOMO} is the $2p_z$ β HOMO, for $N = N_c = 9.87$ and $N = M + 1 = 10$, determined using LDA with the most diffuse cc-pVTZ+5p basis set. On the full scale, the two orbitals are virtually indistinguishable. However, close inspection reveals that at $N = 10$, the orbital acquires non-negligible character at very large distances, reflecting the loss of a fraction of an electron, which is not present when $N = 9.87$.

Table 1 lists the values of the LDA neutral LUMO energy, anion HOMO energy, and electron affinity of the neutral. As the basis set becomes more diffuse, the neutral LUMO energy is negative and stable, the anion HOMO energy reduces from a positive value towards zero, and the affinity increases, consistent with Figures 1 and 2. We note that a good estimate of the limiting electron affinity can be obtained from a knowledge of just the minimum of the E vs. N curve, without requiring an explicit calculation on the anion, which can be difficult to converge with highly diffuse basis sets.

Jarecki and Davidson³² performed a detailed investigation into the HOMO energy of the F^- anion, highlighting the importance of ensuring high accuracy with diffuse basis functions and low electron densities. They found that the LDA HOMO energy was negative when extremely diffuse functions were added to a quintuple-zeta basis. We have ensured that our calculations accurately treat diffuse basis functions and low densities. The fact that the two studies yield different signs for the HOMO energy simply reflects the different underlying basis sets used. A negative HOMO energy is not inconsistent with the analysis in the present study (see above).

Concave E vs. N

Next, we extend the analysis to concave curves with an energy maximum. Figure 1(b) again presents schematic ground-state E vs. N curves for a neutral system that binds an electron. The red solid curve now shows the concave behaviour that is often observed when Hartree-

Fock theory is used with a local basis set. The limiting slope on the electron abundant side of integer M is now positive meaning that $\epsilon_L^M > 0$, which is well-known. The energy increases to a maximum value and then decreases, becoming equal to the energy of the M -electron system at some critical electron number, N_c . Beyond this, the energy curves down to the energy of the $(M+1)$ -electron system. The limiting slope on the electron deficient side of the integer $M+1$ is now negative, meaning that $\epsilon_H^{M+1} < 0$, as is again well-known. In analogy to Fig. 1(a), systems with $M < N < N_c$ could in principle lower their energy by reducing the electron number in the vicinity of the system to M , however the use of a local basis set again prevents this.

The green dotted curve in Figure 1(b) shows the idealised behaviour that would occur if fractional electron loss was possible, without changing the description in the vicinity of the system. For systems with electron number $M < N < N_c$, the variational ground-state solution is obtained by binding only M electrons and moving the remaining fraction far from the system. The energy of all these systems is the same as the energy of the M -electron system and so the curve is flat, exhibiting a degenerate minimum, and $\epsilon_L^M = 0$. This provides a simple explanation as to why Hartree-Fock LUMO energies approach zero as the basis sets become more diffuse (e.g. see Refs. 39 and 40). It also demonstrates that a positive neutral LUMO energy simply reflects the inability to lose a fraction of an electron, due to a local basis set.

The idealised electron addition process is therefore as follows: As the electron number increases from M to N_c , all of the added fraction is unbound, leaving only M electrons in the vicinity of the system. At the point where the electron number exceeds N_c , all electrons bind, meaning there is an abrupt shift of $N_c - M$ electrons from far away to the vicinity of the system, with no change in electronic energy. As the electron number is further increased, all of the additional added fraction binds. The anion has all $M+1$ electrons in the vicinity of the system.

Figure 4 presents E vs. N curves for $F \rightarrow F^-$, determined using Hartree-Fock theory

with the same cc-pVTZ+ np basis sets. The cc-pVTZ curve exhibits strong curvature with a pronounced maximum. The lower plot expands the shaded region. With increasing diffuseness, the curves do indeed flatten, approaching the idealised behaviour in Figure 1(b). Note that for the three most diffuse basis sets, an electron number increment of 0.01 was used, in order to ensure that the shape is faithfully reproduced.

To investigate the abrupt shift of $N_c - M$ electrons from far away to the vicinity of the atom at N_c electrons, Figure 5 again plots $z^2\phi_{\text{HOMO}}^2$, but this time at electron numbers either side of $N = N_c = 9.58$, determined using Hartree-Fock theory with the cc-pVTZ+5p basis set. The entire orbital shifts from very large distance to the vicinity of the atom as the electron number increases through N_c , precisely as predicted. The origin of this behaviour is evident from Figure 6, which plots the energies of the two lowest solutions obtained from the Hartree-Fock calculations, as a function of N , for the cc-pVTZ+5p basis set. The state denoted ‘Bound’ is the state where the orbital being occupied is localised in the vicinity of the atom; the state labelled ‘Unbound’ is the state where the orbital being occupied is located far from the atom. The E vs. N curve in Figure 4 is obtained by choosing the lower of the bound and unbound energies at each electron number. The state crossing at $N = N_c$ therefore explains the shape of the curve in Figure 4 and the shift of electrons in Figure 5.

Table 1 lists the values of the Hartree-Fock orbital energies and electron affinities. As the basis set becomes more diffuse, the neutral LUMO reduces from a positive value towards zero and the anion HOMO is negative and stable. Unlike in the LDA case, the affinities are stable with respect to basis set. This is consistent with Figures 1 and 4.

Range-separated exchange-correlation functionals

The curves in Figure 2 were determined using LDA and we have verified that similar behaviour is obtained using a GGA functional (specifically BLYP^{37,41,42}). As noted in the introduction, curves from a hybrid functional would be intermediate between GGA and Hartree-Fock curves, depending on the amount of orbital exchange. Before completing our

analysis of $F \rightarrow F^-$, we comment on the performance of range-separated functionals, since these have been shown to successfully reduce the curvature. Figure 7 and Table 1 show results determined using the CAM-B3LYP functional⁴³ (results using the cc-pVTZ+5p basis set are not included due to convergence problems). The three most diffuse basis sets have the lowest energy at the anion, with no tendency towards levelling out / fractional electron loss; the anion HOMO energies are negative.

It is also pertinent to note that the parameters in range-separated functionals are sometimes determined by tuning the functional to approximately recover Koopmans conditions, either on a system-by-system basis²⁶ or through a parameterised functional.⁴⁴ The aforementioned observations regarding energy curvature, frontier orbital energies and electron affinities are pertinent to such approaches and so it is important that the effect of diffuse functions is correctly accounted for, particularly when the electron affinity is involved.

Implications of a negative LUMO energy

Consider an idealised DFT calculation on a neutral M -electron system, for which fractional electron loss is possible. If the LUMO energy is negative, then the E vs. N curve must initially drop as a fraction of an electron is added to the M -electron system (the initial slope is negative; see Eqn (2)). However, as discussed above, further increasing N cannot lead to an increase in the energy. It follows that a non-zero fraction of an electron must bind and the energy of the anion must be below that of the neutral, meaning the electron affinity must be positive, irrespective of whether the electron vertically binds in reality. To test this, we have performed calculations on the $Ne \rightarrow Ne^-$ segment ($M = 10$), which represents an extreme case where no binding should be observed. The LUMO energy in Ne is much more sensitive to basis set than it is in the F atom (3s vs. 2p) and the use of an analogous cc-pVTZ+ ns basis set, where s rather than p functions are added due to the new symmetry, does not actually yield a negative LUMO energy. We therefore instead present results obtained using an even more extensive aug-cc-pVTZ+ ns basis set, obtained by adding additional s diffuse functions

to aug-cc-pVTZ, using a geometric progression based on the ratio of the s exponents in aug-cc-pVTZ and d-aug-cc-pVTZ.

Figure 8 presents the LDA E vs. N curves and Table 2 lists the corresponding neutral LUMO energy, anion HOMO energy, and electron affinity of the neutral. The LUMO energy is positive for the first two basis sets, but negative for the latter three, meaning that three E vs. N curves drop as the electron number increases beyond 10. Of these, the aug-cc-pVTZ+2s and aug-cc-pVTZ+3s basis sets are not sufficiently diffuse for the flattening to give an anion energy below that of the neutral, meaning the electron affinity is negative. However, for the most diffuse aug-cc-pVTZ+4s basis set, the flattening is sufficiently pronounced that the anion energy is below that of the neutral and the electron affinity is positive, as in the idealised case. We have confirmed that the same behaviour is observed using the BLYP GGA.

We emphasise that this noble gas atom is an extreme case, where the LUMO energy is particularly sensitive to basis set. For many closed-shell systems, the LUMO is appreciably more negative and the effect will be more pronounced. For example, we previously⁴⁵ performed calculations on C_2H_4 using a highly diffuse augmented cc-pVTZ basis set, for which the LUMO energy was more than an order of magnitude more negative than in this Ne example. We observed that the electron affinity of the molecule was positive, despite the fact that it does not vertically bind an electron experimentally. The above analysis explains the origin of that positive affinity.

As discussed and illustrated earlier in this study, it is well established^{29–35} that when a highly diffuse basis set is used, approximate DFT functionals can fail to bind a full electron in cases where it should bind. We now expand that statement to add that if the DFT LUMO energy is negative then it will incorrectly bind a fraction of an electron and exhibit a positive electron affinity in cases where it should not bind!

Finally, Table 2 lists the orbital energies and electron affinities determined using Hartree-Fock theory and CAM-B3LYP. For Hartree-Fock, the LUMO energy is positive for all the

basis sets and the electron affinity approaches zero from below. For CAM-B3LYP, the aug-cc-pVTZ+4s basis set does yield a negative LUMO, but the flattening of the E vs. N curve (not shown) is not sufficient to yield a positive affinity. Neither Hartree-Fock theory nor the CAM-B3LYP functional therefore predict electron binding or a positive affinity, in agreement with experiment.

Conclusions

In this study, we highlighted the fact that minima/maxima in E vs. N curves from approximate DFT and Hartree-Fock theory are a consequence of using basis sets that are local to the system, preventing fractional electron loss. This is distinct from the underlying convexity/concavity of the curves, which arises due to the inherent deficiencies in the electronic structure methods.

Ground-state E vs. N curves were presented that illustrate the idealised behaviour that would occur if fractional electron loss was possible, without changing the description in the vicinity of the system. The key feature is that $E(N + \delta) \leq E(N)$, for $\delta \geq 0$, i.e. the energy cannot increase when the electron number increases. It follows that the slope of the E vs. N curve cannot be anywhere positive and so, from Eqns. (1) and (2), the frontier orbital energies cannot be positive. Approximate DFT and Hartree-Fock calculations were presented for $F \rightarrow F^-$ and results approaching the idealised behaviour were recovered. Calculations on $Ne \rightarrow Ne^-$ verified that for highly diffuse basis sets, a negative LUMO must lead to a positive electron affinity.

E vs. N curves, frontier orbital energies, and electron affinities are important throughout contemporary DFT. This study contributes to the understanding of these quantities.

Acknowledgments

DJT and MJGP thank the EPSRC for financial support (EP/G06928X/1). TH and AMT thank the Norwegian Research Council through the CoE Centre for Theoretical and Computational Chemistry (CTCC) grant number 179568/V30 and through the European Research Council under the European Union Seventh Framework Program through the Advanced Grant ABACUS, ERC grant agreement number 267683. AMT is grateful for support from the Royal Society University Research Fellowship scheme.

References

- (1) Mori-Sánchez, P.; Cohen, A. J.; Yang, W. *J. Chem. Phys.* **2006**, *125*, 201102.
- (2) Ruzsinszky, A.; Perdew, J. P.; Csonka, G. I.; Vydrov, O. A.; Scuseria, G. E. *J. Chem. Phys.* **2007**, *126*, 104102.
- (3) Vydrov, O. A.; Scuseria, G. E.; Perdew, J. P. *J. Chem. Phys.* **2007**, *126*, 154109.
- (4) Cohen, A. J.; Mori-Sánchez, P.; Yang, W. *J. Chem. Phys.* **2007**, *126*, 191109.
- (5) Teale, A. M.; De Proft, F.; Tozer, D. J. *J. Chem. Phys.* **2008**, *129*, 044110.
- (6) Cohen, A. J.; Mori-Sánchez, P.; Yang, W. *Phys. Rev. B* **2008**, *77*, 115123.
- (7) Mori-Sánchez, P.; Cohen, A. J.; Yang, W. *Phys. Rev. Lett.* **2008**, *100*, 146401.
- (8) Song, J.-W.; Watson, M. A.; Hirao, K. *J. Chem. Phys.* **2009**, *131*, 144108.
- (9) Haunschild, R.; Henderson, T. M.; Jiménez-Hoyos, C. A.; Scuseria, G. E. *J. Chem. Phys.* **2010**, *133*, 134116.
- (10) Tsuneda, T.; Song, J.-W.; Suzuki, S.; Hirao, K. *J. Chem. Phys.* **2010**, *133*, 174101.
- (11) Körzdörfer, T.; Parrish, R. M.; Sears, J. S.; Sherrill, C. D.; Brédas, J.-L. *J. Chem. Phys.* **2012**, *137*, 124305.
- (12) Srebro, M.; Autschbach, J. *J. Phys. Chem. Lett.* **2012**, *3*, 576.
- (13) Stein, T.; Autschbach, J.; Govind, N.; Kronik, L.; Baer, R. *J. Phys. Chem. Lett.* **2012**, *3*, 3740–3744.
- (14) Teale, A. M.; De Proft, F.; Geerlings, P.; Tozer, D. J. *Phys. Chem. Chem. Phys.* **2014**, *16*, 14420–14434.
- (15) Autschbach, J.; Srebro, M. *Acc. Chem. Res.* **2014**, *47*, 2592–2602.

- (16) Mori-Sanchez, P.; Cohen, A. J. *Phys. Chem. Chem. Phys.* **2014**, *16*, 14378–14387.
- (17) Vlček, V.; Eisenberg, H. R.; Steinle-Neumann, G.; Kronik, L.; Baer, R. *J. Chem. Phys.* **2015**, *142*, 034107.
- (18) Whittleton, S. R.; Sosa Vazquez, X. A.; Isborn, C. M.; Johnson, E. R. *J. Chem. Phys.* **2015**, *142*, 184106.
- (19) Tozer, D. J. *J. Chem. Phys.* **2003**, *119*, 12697–12699.
- (20) Bally, T.; Sastry, G. N. *J. Phys. Chem. A* **1997**, *101*, 7923–7925.
- (21) Perdew, J. P.; Parr, R. G.; Levy, M.; Balduz, J. L. *Phys. Rev. Lett.* **1982**, *49*, 1691–1694.
- (22) Dutoi, A. D.; Head-Gordon, M. *Chem. Phys. Lett.* **2006**, *422*, 230–233.
- (23) Ruzsinszky, A.; Perdew, J. P.; Csonka, G. I.; Vydrov, O. A.; Scuseria, G. E. *J. Chem. Phys.* **2006**, *125*, 194112.
- (24) Janak, J. F. *Phys. Rev. B* **1978**, *18*, 7165–7168.
- (25) Chan, G. K.-L. *J. Chem. Phys.* **1999**, *110*, 4710–4723.
- (26) Stein, T.; Kronik, L.; Baer, R. *J. Am. Chem. Soc.* **2009**, *131*, 2818–2820.
- (27) Amos, R. D. et al. CADPAC 6.5, The Cambridge Analytic Derivatives Package. 1998; Cambridge, England.
- (28) Frisch, M. J. et al. Gaussian 09 Revision A.02. 2009; Gaussian Inc. Wallingford CT 2009.
- (29) Shore, H. B.; Rose, J. H.; Zaremba, E. *Phys. Rev. B* **1977**, *15*, 2858–2861.
- (30) Galbraith, J. M.; Schaefer III, H. F. *J. Chem. Phys.* **1996**, *105*, 862–864.
- (31) Rösch, N.; Trickey, S. B. *J. Chem. Phys.* **1997**, *106*, 8940–8941.

- (32) Jarecki, A. A.; Davidson, E. R. *Chem. Phys. Lett.* **1999**, *300*, 44–52.
- (33) Jensen, F. *J. Chem. Theory Comput.* **2010**, *6*, 2726–2735.
- (34) Lee, D.; Furche, F.; Burke, K. *J. Phys. Chem. Lett.* **2010**, *1*, 2124–2129.
- (35) Kim, M.-C.; Sim, E.; Burke, K. *J. Chem. Phys.* **2011**, *134*, 171103.
- (36) Woon, D. E.; Dunning Jr., T. H. *J. Chem. Phys.* **1994**, *100*, 2975–2988.
- (37) Dirac, P. A. M. *Proc. Cam. Phil. Soc.* **1930**, *26*, 376–385.
- (38) Vosko, S. H.; Wilk, L.; Nusair, M. *Can. J. Phys.* **1980**, *58*, 1200–1211.
- (39) Allen, M. J.; Tozer, D. J. *J. Chem. Phys.* **2000**, *113*, 5185–5192.
- (40) Baerends, E. J.; Gritsenko, O. V.; van Meer, R. *Phys. Chem. Chem. Phys.* **2013**, *15*, 16408–16425.
- (41) Becke, A. D. *Phys. Rev. A* **1988**, *38*, 3098–3100.
- (42) Lee, C.; Yang, W.; Parr, R. G. *Phys. Rev. B* **1988**, *37*, 785–789.
- (43) Yanai, T.; Tew, D. P.; Handy, N. C. *Chem. Phys. Lett.* **2004**, *393*, 51–57.
- (44) Verma, P.; Bartlett, R. J. *J. Chem. Phys.* **2014**, *140*, 18A534.
- (45) Peach, M. J. G.; De Proft, F.; Tozer, D. J. *J. Phys. Chem. Lett.* **2010**, *1*, 2826–2831.

Table 1: LUMO energy of F, HOMO energy of F^- , and electron affinity of F, determined using LDA, Hartree-Fock (HF), and CAM-B3LYP. Orbital energies are in a.u., electron affinities are in eV.

	LDA			HF			CAM-B3LYP		
	ϵ_L^M	ϵ_H^{M+1}	A^M	ϵ_L^M	ϵ_H^{M+1}	A^M	ϵ_L^M	ϵ_H^{M+1}	A^M
cc-pVTZ	-0.366	0.142	3.00	0.067	-0.135	0.58	-0.20	0.01	2.51
cc-pVTZ+1p	-0.377	0.051	3.33	0.035	-0.180	1.19	-0.21	0.01	3.52
cc-pVTZ+2p	-0.377	0.044	4.14	0.026	-0.180	1.19	-0.21	-0.07	3.53
cc-pVTZ+3p	-0.377	0.043	4.14	0.012	-0.180	1.19	-0.21	-0.07	3.53
cc-pVTZ+4p	-0.377	0.028	4.15	0.004	-0.180	1.19	-0.21	-0.07	3.53
cc-pVTZ+5p	-0.377	0.013	4.18	0.001	-0.180	1.19	-0.21	—	—

Table 2: LUMO energy of Ne, HOMO energy of Ne^- , and electron affinity of Ne, determined using LDA, Hartree-Fock (HF), and CAM-B3LYP. Orbital energies are in a.u., electron affinities are in eV.

	LDA			HF			CAM-B3LYP		
	ϵ_L^M	ϵ_H^{M+1}	A^M	ϵ_L^M	ϵ_H^{M+1}	A^M	ϵ_L^M	ϵ_H^{M+1}	A^M
aug-cc-pVTZ	0.089	0.272	-4.64	0.201	0.198	-5.44	0.134	0.216	-4.77
aug-cc-pVTZ+1s	0.009	0.102	-1.17	0.055	0.054	-1.48	0.028	0.068	-1.24
aug-cc-pVTZ+2s	-0.002	0.043	-0.27	0.015	0.015	-0.41	0.006	0.024	-0.32
aug-cc-pVTZ+3s	-0.003	0.019	-0.03	0.004	0.004	-0.12	0.000	0.009	-0.08
aug-cc-pVTZ+4s	-0.003	0.008	0.02	0.001	0.001	-0.03	-0.001	0.004	-0.01

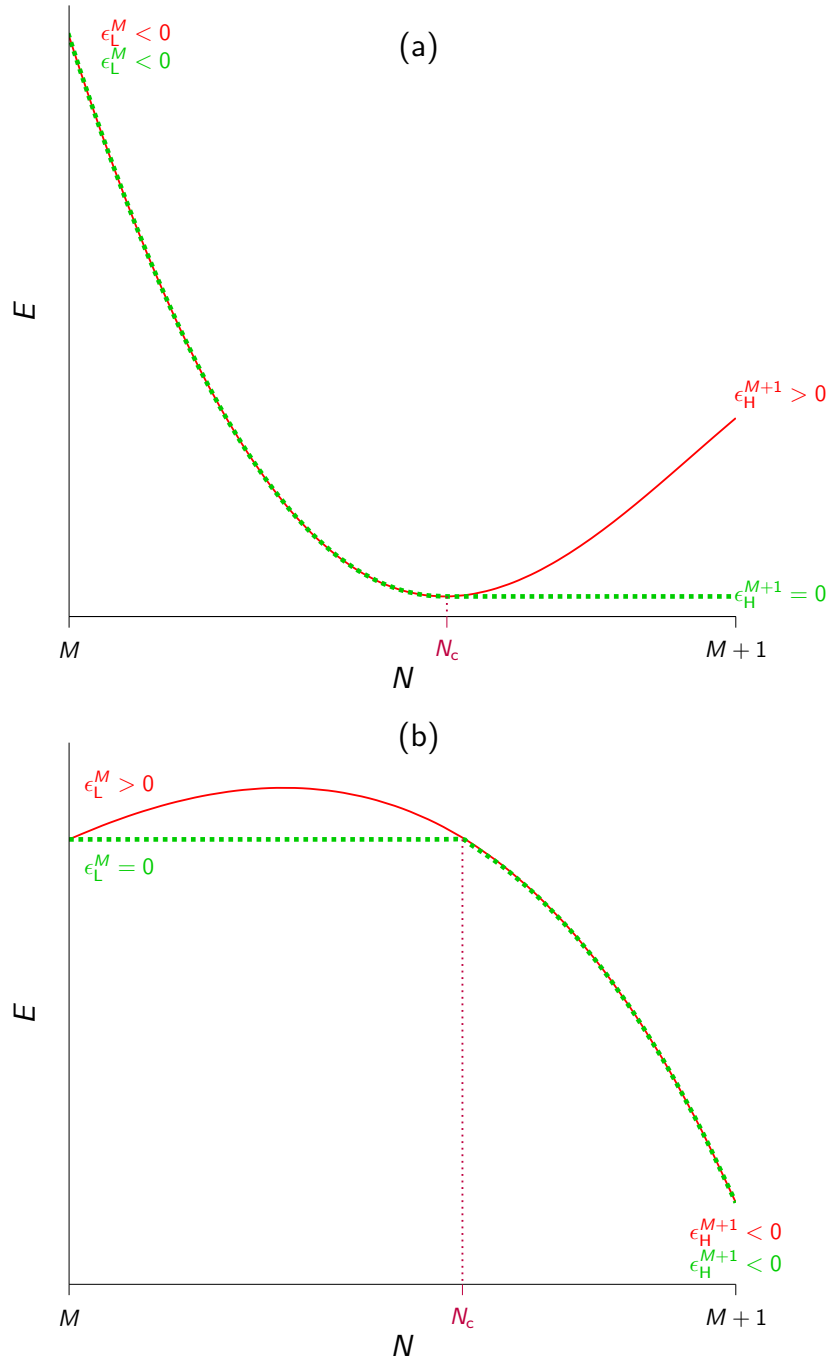


Figure 1: E vs. N curves for a neutral system that vertically binds an electron. (a) A convex curve exhibiting an energy minimum (e.g. LDA/GGA). (b) A concave curve exhibiting an energy maximum (e.g. Hartree-Fock). Red solid curves indicate schematic curves determined using a local basis set. Green dotted curves indicate idealised curves that would be obtained if the basis set were to be modified to enable fractional electron loss, without changing the description in the vicinity of the system.

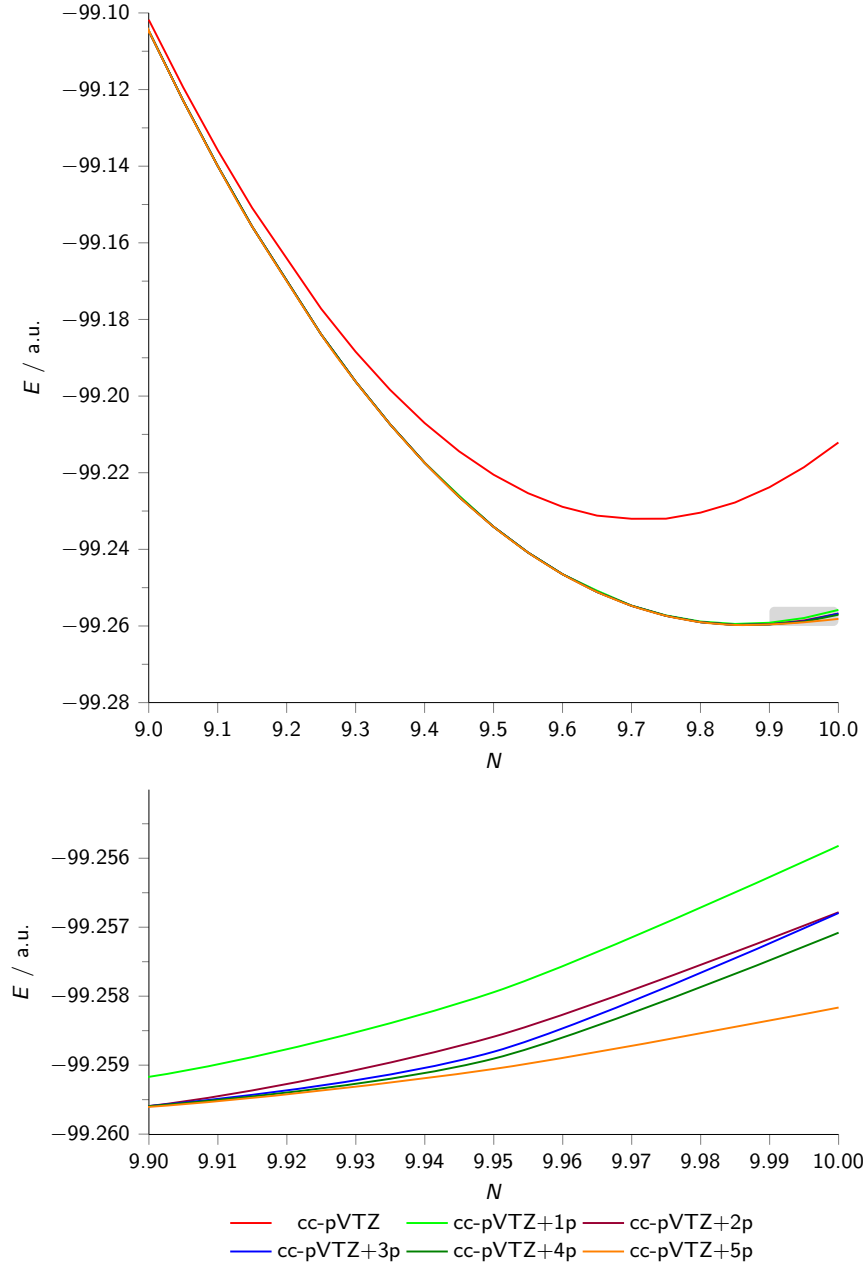


Figure 2: E vs. N curves for the $F \rightarrow F^-$ segment, determined using LDA. The lower plot expands the shaded area.

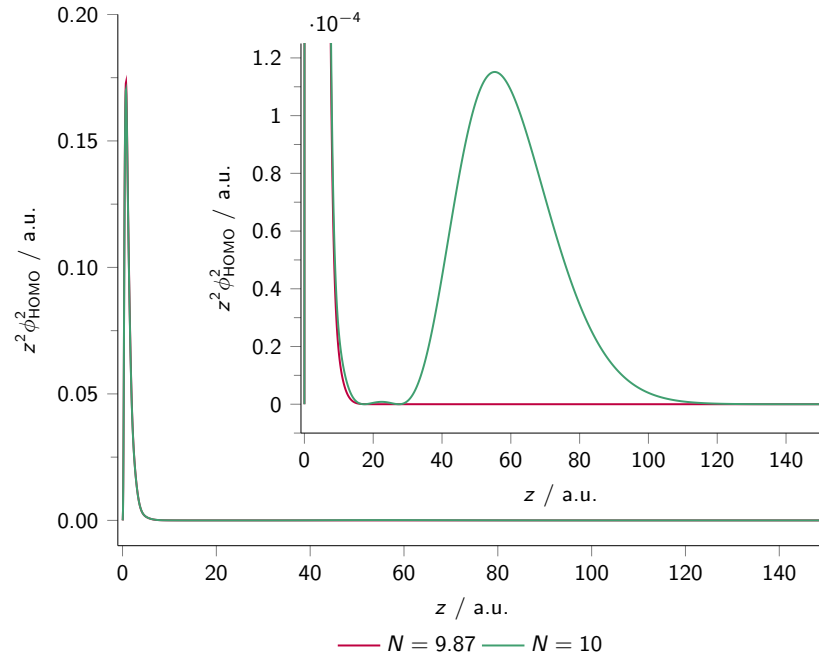


Figure 3: Plots of $z^2 \phi_{\text{HOMO}}^2$, for selected values of N , in the $\text{F} \rightarrow \text{F}^-$ segment, determined using LDA with the cc-pVTZ+5p basis set. Note the inset plot has a smaller vertical scale.

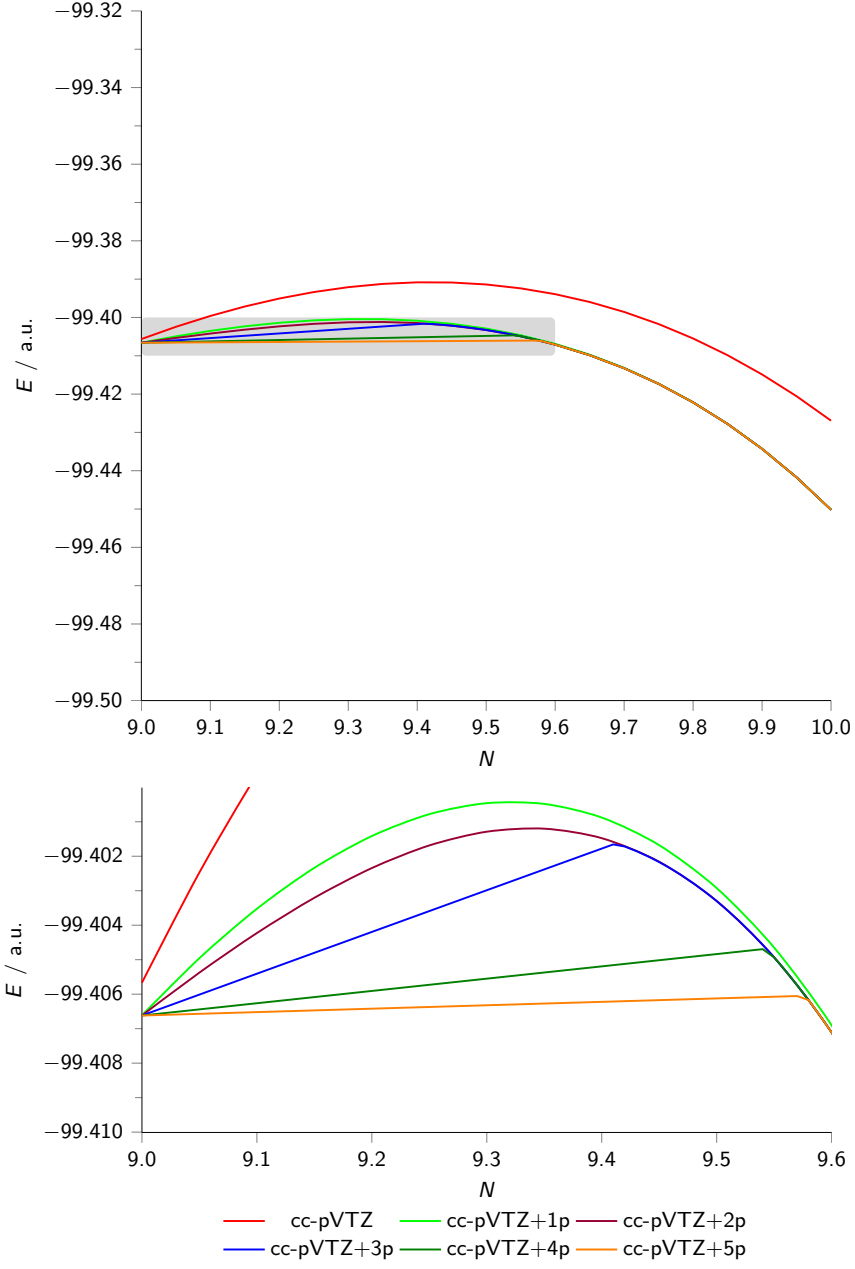


Figure 4: E vs. N curves for the $F \rightarrow F^-$ segment, determined using Hartree-Fock theory. The lower plot expands the shaded area.

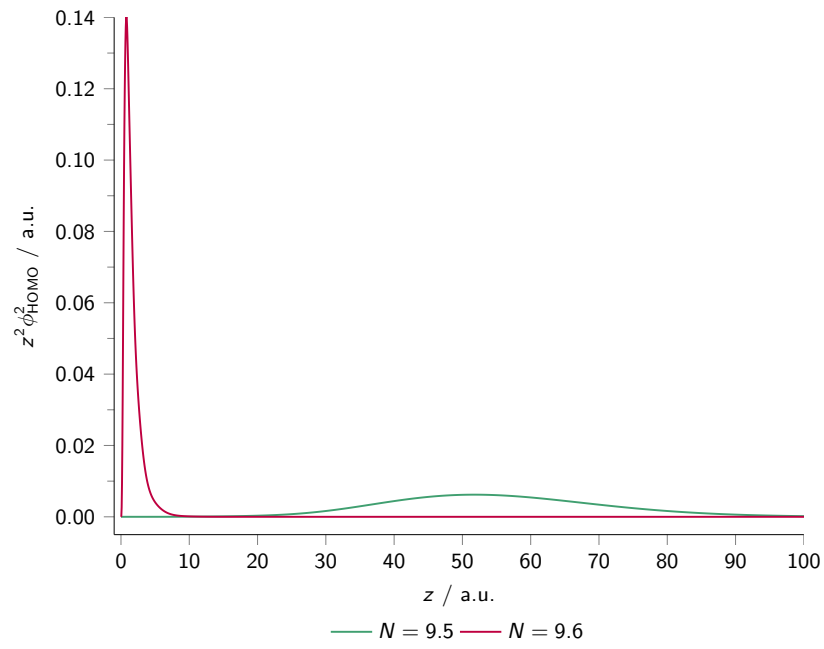


Figure 5: Plots of $z^2 \phi_{\text{HOMO}}^2$, for selected values of N , in the $\text{F} \rightarrow \text{F}^-$ segment, determined using Hartree-Fock theory with the cc-pVTZ+5p basis set.

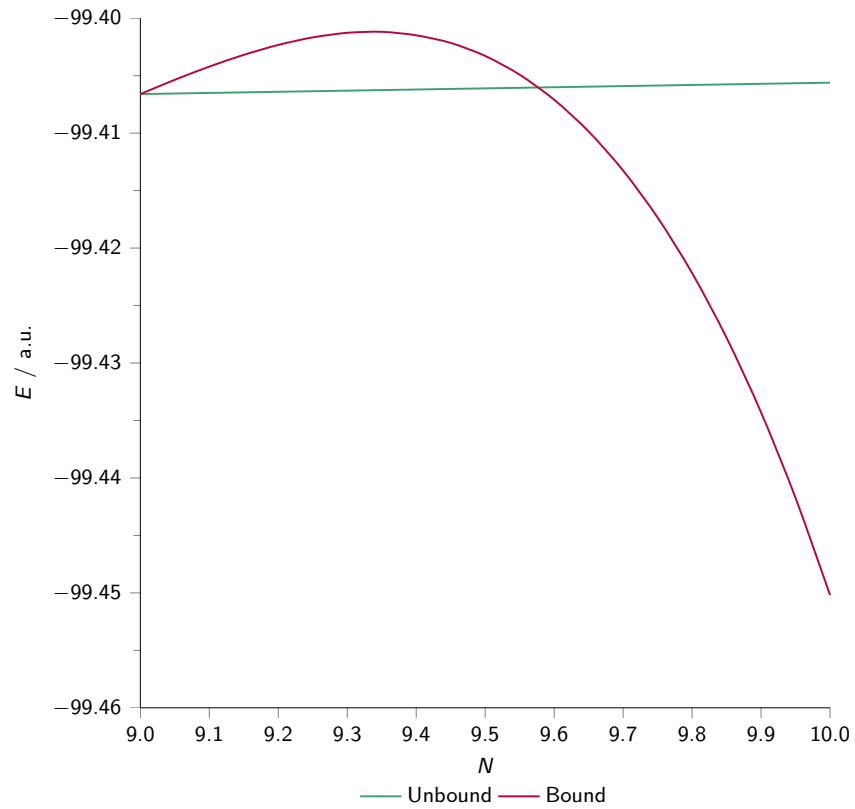


Figure 6: E vs. N curves for the two lowest energy solutions, in the $F \rightarrow F^-$ segment, determined using Hartree-Fock theory, with the cc-pVTZ+5p basis set.

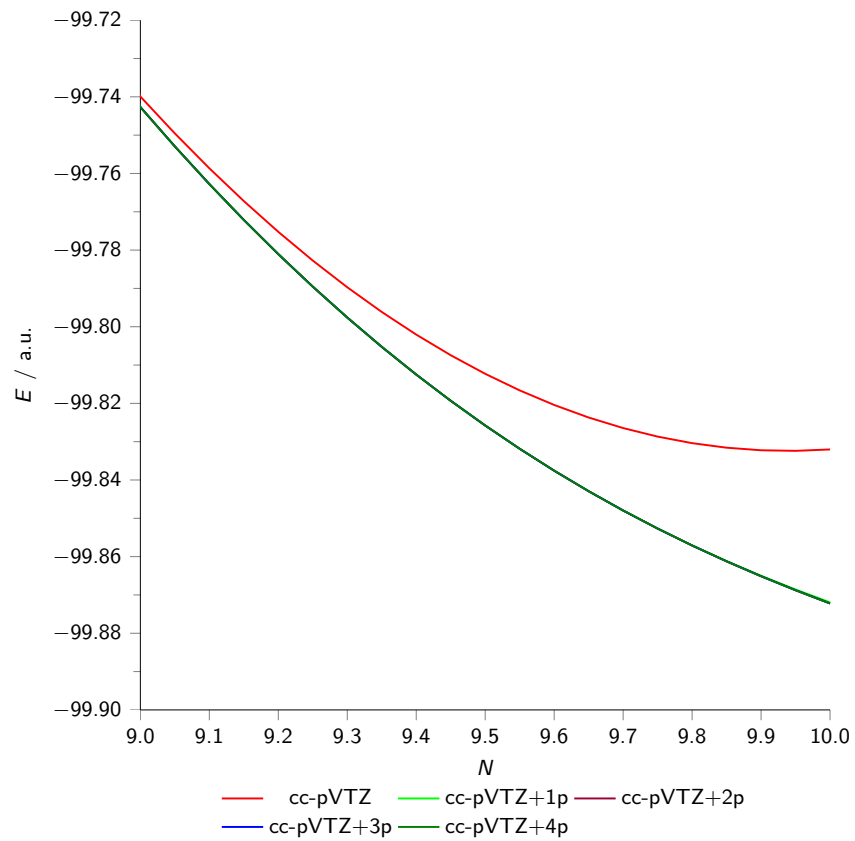


Figure 7: E vs. N curves for the the $F \rightarrow F^-$ segment, determined using CAM-B3LYP.

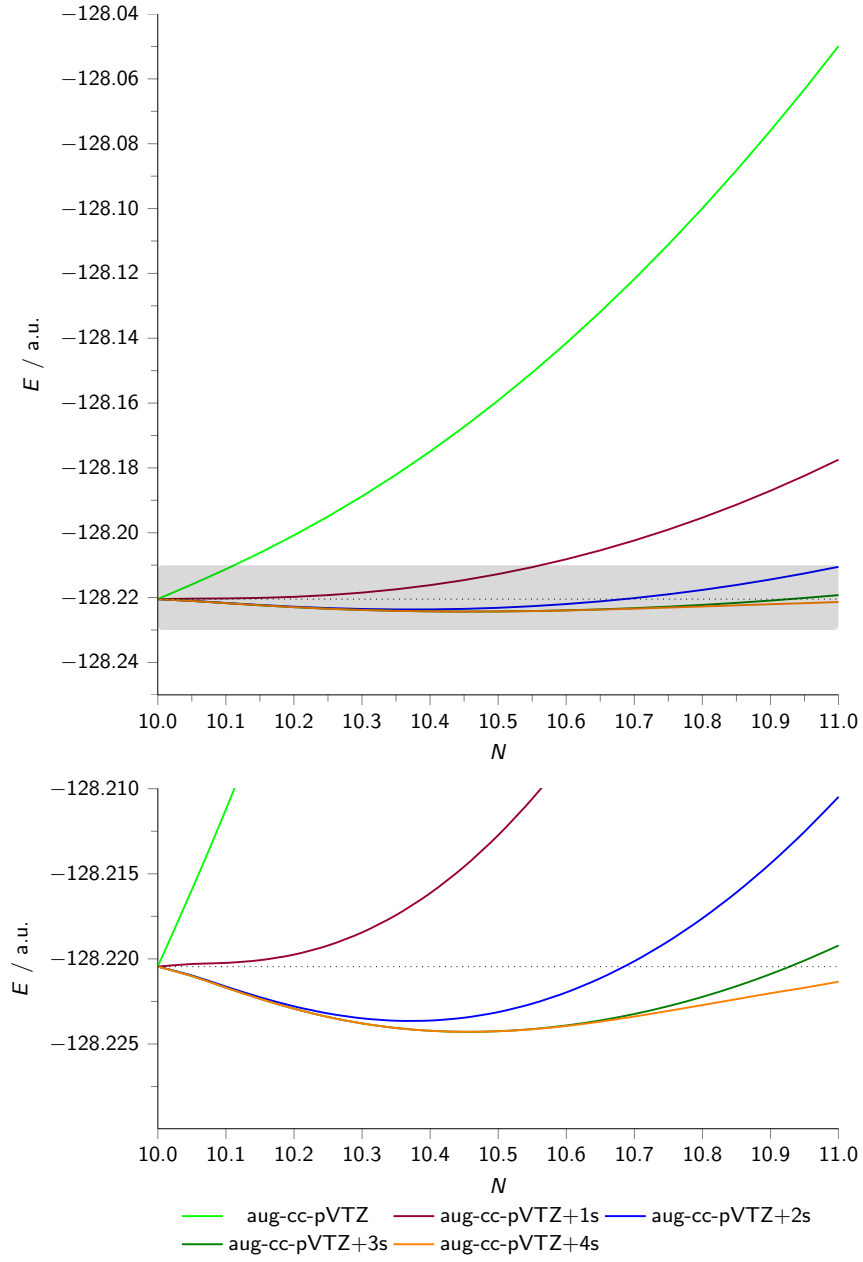
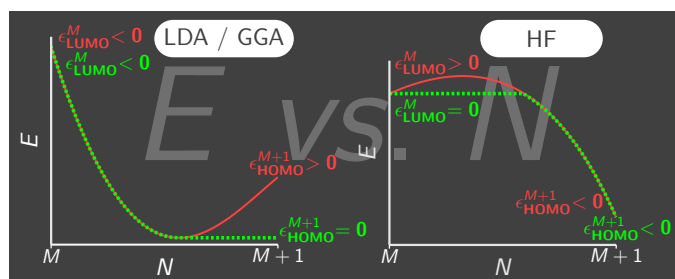


Figure 8: E vs. N curves for the the $\text{Ne} \rightarrow \text{Ne}^-$ segment, determined using LDA. The lower plot expands the shaded area.



Graphical abstract.